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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/719,371	11/21/2003	Yin L. Liong	08212/1200285-US1	3587

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EXAMINER

SHINGLES, KRISTIE D

ART UNIT	PAPER NUMBER
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2141

DATE MAILED: 07/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/719,371

Applicant(s)

LIONG ET AL.

Examiner

Kristie Shingles

Art Unit

2141

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 04 May 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 May 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 5/4/05.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Response to Amendment*

*Applicant has not amended any claims.  
Claims 1-27 are pending.*

### *Drawings*

1. The proposed drawing corrections filed 5/4/2005 have been accepted by the Examiner. The corrections to the drawings will not be held in abeyance.

### *Response to Arguments*

2. Applicant's arguments, see Remarks, filed on 5/4/2005 with respect to the rejections of claims 1-27 under 35 USC 102(e) and 35 USC 103(a), have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of *Chen et al* (US Publication 2003/0053464) and *Goguen et al* (USPN 6,665,273).

### *Claim Rejections - 35 USC § 102*

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in (1) an application for patent, published under section 122(b); by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

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4. Claims 1-8, 10-16, 18-23 and 25-27 are rejected under 35 U.S.C. 102(e) as being anticipated by *Chen et al* (US Publication 2003/0053464).

a. **Per claim 21**, *Chen et al* teach the method for configuring Diffserv over MPLS in a network, comprising:

- defining a mapping policy configured to map between an EXP field and a unique PHB (paragraphs 0003, 0014, 00150026, 0048 and 0055-0056; provision for routing policies mapped between EXP fields and a PHB);
- defining a customer policy that is configured to govern the treatment of individual customer traffic (paragraphs 0009 and 0026; provision for customer/operator policies);
- defining a network policy that is configured to define the Diffserv treatment of aggregated traffic (paragraphs 0045-0054, 0057, 0075-0085 and 0113);
- translating the mapping policy, the network policy and the customer policy into device-specific commands (paragraphs 0009, 0041, 0042, 0047-0054 and 0071); and
- deploying the device-specific commands to policy targets (paragraphs 0017, 0018 and 0047).

b. **Claims 1, 5, 11 and 14** contain limitations that are substantially similar to claim 21 and are therefore rejected under the same basis.

c. **Per claim 2**, *Chen et al* teach the system of claim 1, wherein the customer policy comprises a tunnel group identifier and tunneling mode (paragraphs 0026, 0032-0048, 0052-0057, 0061-0065, 0091 and 0113-0121).

d. **Claims 12, 20 and 27** are substantially similar to claim 2 and are therefore rejected under the same basis.

e. **Per claim 6**, *Chen et al* teach the apparatus of claim 5, further comprising: a user interface that is arranged to receive the customer policy and the mapping policy (paragraphs 0009, 0026, 0041-0054, 0057, 0060-0065, 0071, 0075-0085 and 0113).

f. **Per claim 7**, *Chen et al* teach the apparatus of claim 5, wherein deployment is such that the interfaces associate with at least one of input roles, output roles and MPLS gateways of customer source and destination host groups (paragraphs 0026, 0042, 0060-0065, 0071, 0077 and 0088).

g. **Per claim 8**, *Chen et al* teach the apparatus of claim 5, wherein the policy consumer is further arranged to attach the customer policy to the corresponding MPLS tunnels and deploy the customer policy to interfaces of the attached MPLS tunnels (paragraphs 0060-0065, 0075-0077, 0087-0091, 0118-0122).

h. **Claims 3, 4, 13, 15, 16, 18, 22, 23 and 25** are substantially similar to claims 7 and 8 and are therefore rejected under the same basis.

i. **Per claim 10**, *Chen et al* teach the apparatus of claim 5, wherein the service application comprises a tunnel group object that is arranged to create the MPLS tunnels by specifying end-point routers and inter-connecting topology (paragraphs 0017-0018, 0026, 0032-0035, 0047, 0052, 0055-0059 and 0091).

j. **Per claim 19**, *Chen et al* teach the article of claim 14, wherein deploying the mapping policy to the network interfaces further comprises issuing new commands to reconfigure a router based on the mapping policy (paragraphs 0009, 0026, 0047, 0059, 0075-0078 and 0091).

k. **Claim 26** is substantially similar to claim 19 and is therefore rejected under the same basis.

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. **Claims 9, 17 and 24** are rejected under 35 U.S.C. 103(a) as being unpatentable over *Chen et al* (US Publication 2003/0053464) in view of *Goguen et al* (USPN 6,665,273).

a. **Per claim 9**, *Chen et al* teach the apparatus of claim 5 as applied above, yet fail to explicitly teach a database for storing the device-neutral policy parameters. However, *Goguen et al* disclose a topology database that stores the constraints, commands and requirements for the network and for the tunnels in the system (col.1 line 65-col.2 line 13, col.2 lines 19-40, col.4 lines 1-63, col.5 lines 28-54 and col.7 lines 36-64).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of *Chen et al* and *Goguen et al* for the purpose of storing and maintaining the policies and parameters that constrain the routing and paths in the communication network in order to maintain the information and update it when modifications, re-configurations or adjustments are performed in the network's topology.

b. **Claims 17 and 24** are substantially similar to claim 9 and are therefore rejected under the same basis.

*Conclusion*

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: *Ganti et al* (US Publication 2003/0185217), *Chuah et al* (USPN 6,408,001) and *Mistry et al* (US Publication 2004/0213264).


8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kristie Shingles whose telephone number is 571-272-3888. The examiner can normally be reached on Monday-Friday 8:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rupal Dharia can be reached on 571-272-3880. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

*Kristie Shingles*  
Examiner  
Art Unit 2141

kds

  
RUPAL DHARIA  
SUPERVISORY PATENT EXAMINER